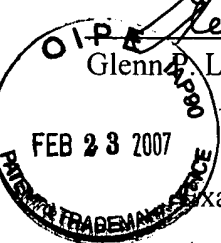


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on February 21, 2007

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

Examining Group 1632  
Patent Application  
Docket No. USF-182XC1  
Serial No. 10/655,873

  
Glenn P. Ladwig  
Glenn P. Ladwig, Patent Attorney

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner : Louis D. Lieto, Ph.D.  
Art Unit : 1632  
Applicants : Shyam S. Mohapatra, Mukesh Kumar  
Serial No. : 10/655,873  
Filed : September 5, 2003  
Conf. No. : 6872  
For : Genetic Adjuvants for Immunotherapy

MS AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§1.97 AND 1.98**

Sir:

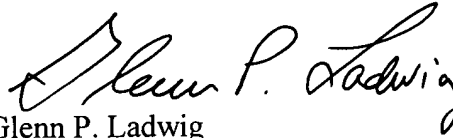
In accordance with 37 C.F.R. §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed. However, the applicants have not submitted a copy of the published U.S. applications cited on attached Form PTO/SB/08 pursuant to 37 C.F.R. §1.98(a)(2)(ii).

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final action or the notice of allowance. Please charge the fee of \$180.00 to Deposit Account No. 19-0065.

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01 FC:1806 180.00 DA

The applicants respectfully assert that the substantive provisions of 37 C.F.R. §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,



Glenn P. Ladwig  
Patent Attorney

Registration No. 46,853

Phone No.: 352-375-8100

Fax No.: 352-372-5800

Address: P.O. Box 142950  
Gainesville, FL 32614-2950

GPL/mv

Attachments: Form PTO/SB/08 (2 pages); copies of some references cited therein



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/655,873
				Filing Date	September 5, 2003
				First Named Inventor	Shyam S. Mohapatra
				Art Unit	1632
				Examiner Name	Louis D. Lieto, Ph.D.
Sheet	1	of	2	Attorney Docket Number	USF-182XC1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US-2002/0044919 A1	04-18-2002	Yu	All
	U	US-			
	U	US-			
	U	US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	F1					
	F2					
	F3					
	F4					
	F5					
	F6					
	F7					

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/655,873
				Filing Date	September 5, 2003
				First Named Inventor	Shyam S. Mohapatra
				Group Art Unit	1632
				Examiner Name	Louis D. Lieto, Ph.D.
Sheet	2	of	2	Attorney Docket Number	USF-182XC1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	R1	DOBSON, J. "Gene therapy progress and prospects: magnetic nanoparticle-based gene delivery" <i>Gene Therapy</i> , 2006, 13:283-287.	
	R2	KINSEY, B. <i>et al.</i> "Non-viral gene delivery to the lungs" <i>Current Gene Therapy</i> , 2005, 5:181-194.	
	R3	KUMAR, M.N.V.R. <i>et al.</i> "Nanoparticle-mediated gene delivery: state of the art" <i>Expert Opin. Biol. Ther.</i> , 2004, 4(8):1213-1224.	
	R4	POUTON, C. and SEYMOUR, L. "Key issues in non-viral gene delivery" <i>Adv. Drug Deliv. Rev.</i> , 2001, 46:187-203.	
	R5	READ, M. <i>et al.</i> "Barriers to gene delivery using synthetic vectors" <i>Adv. in Genetics</i> , 2005, 53:19-46.	
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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